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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/820,963	04/07/2004	Li	Epogy 2004-4

23694

J. NICHOLAS GROSS, ATTORNEY AT LAW  
 726 DUBOCE AVE.  
 SAN FRANCISCO, CA 94117

CONFIRMATION NO. 9556

## FORMALITIES LETTER



\*OC000000013005294\*

Date Mailed: 06/21/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$65** for a Small Entity

- **\$65** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria VA 22313-1450

08/30/2004 FFANAI2 00000051 10820963

01 FC:1051

130.00 OP

*A copy of this notice **MUST** be returned with the reply.*

AG

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



04 12:47p

Mary Warinner

5036408273

p. 8

Patent**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In Re the Application of:

Shaolin Li

Application No.: 10/820,963

Filed: April 7, 2004

For: MONITORING SYSTEM USING  
MULTI-ANTENNA TRANSCEIVERSCommissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450*I hereby certify that this correspondence is being sent via  
facsimile to the Commissioner for Patents (703)746.4000 on:*September 1, 2004*Date of Transmission*Mary E. Warinner*Name of Person Transmitting Correspondence*Mary E. Warinner 9.1.04  
*Signature* *Date***TRANSMITTAL**

Sir:

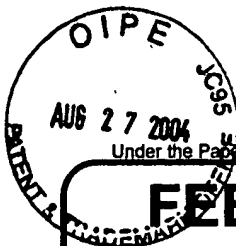
When responding to the Notice to File Missing Parts, Applicant inadvertently failed to notify the PTO of a change in entity status. Applicant previously claimed small entity status when the above-referenced patent application was filed. Applicant is no longer eligible for small entity status and hereby notifies the Commission for Patents that Applicant should be considered a large entity from May 18, 2004 forward. Applicant paid the large entity surcharge in response to the Notice to File Missing Parts and does not believe that any additional fees are required. If however, any additional fees are required, authorization to charge any additional fees or underpayments was granted in the attached Fee Transmittal, submitted August 23, 2004.

Respectfully submitted,

Berkeley Law and Technology Group, LLC

Dated: September 1, 2004

Michael J. Willardson  
Reg. No. 50,8565250 NE Elam Young Parkway, Suite 850  
Hillsboro, OR 97124  
(503)640.6475



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) 130.00

## Complete if Known

Application Number	10/820,963
Filing Date	April 7, 2004
First Named Inventor	Shaolin Li
Examiner Name	Not yet assigned
Art Unit	Not yet assigned
Attorney Docket No.	012.P3004

## METHOD OF PAYMENT (check all that apply)

☐ Check ☒ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit  
Account  
Number  
Deposit  
Account  
Name

50-3130

Berkeley Law & Technology

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	

SUBTOTAL (1) (\$ ) 0

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent	-20** =	X	
Multiple Dependent	-3** =	X	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ ) 0

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	130.00
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for <i>ex parte</i> reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1480 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) 130.00

## SUBMITTED BY

Name (Print/Type) Howard A. Skaist

Registration No. 36,008

(Complete if applicable)

Telephone 503.640.6475

Signature

Howard A. Skaist

Date

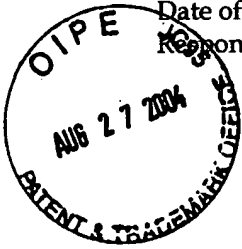
8/23/04

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Attorney Docket No. 012.P3005  
Date of Mailing: August 23, 2004  
Response to Notice to File Missing Parts



*I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:*

**August 23, 2004**

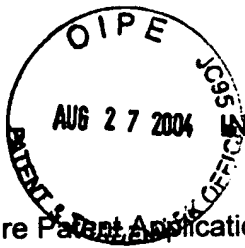
*Date of Transmission*

**Mary E. Warinner**

*Name of Person Transmitting Correspondence*

*Mary E. Warinner*  
*Signature*

*8.23.04*  
*Date*



THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Shaolin Li

Application No.: 10/820,963

Filed: April 7, 2004

For: MONITORING SYSTEM USING  
MULTI-ANTENNA TRANSCEIVERS

Examiner: not yet assigned

Art Unit: not yet assigned

**RESPONSE TO NOTICE TO FILE MISSING PARTS - FILING DATE GRANTED**

Box Missing Parts  
COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In response to the Notice to File Missing Parts, Filing Date Granted, mailed June 21, 2004,  
enclosed are the following:

- 1) Copy of Notice to File Missing Parts – Filing Date Granted;
- 2) Petition Showing Proprietary Interest Under 37 CFR 1.47;
- 3) Affidavit of Howard A. Skaist;
- 4) Power of Attorney and Correspondence Address Indication Form;
- 5) Fee Transmittal (in duplicate);
- 6) Credit Card Payment Form; and
- 7) Return Receipt Postcard.

Respectfully submitted,

Dated: \_\_\_\_\_

8/23/04

*Howard A. Skaist*

Howard A. Skaist  
Senior Intellectual Property Attorney  
Reg. No. 36,008

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